

UTILITY PATENT APPLICATION TRANSMITTAL

(only for new non-provisional applications under 37 CFR §1.53(b))

Mail Stop Patent Application Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on <u>December 16, 2003</u> Transmitted herewith for filing is the patent application under 37 CFR 1.53(b) of: AVENTIS DOCKET NO .: DEAV2002/0089 US NP INVENTORS: KLEBL, et al. METHOD FOR GENERATING A TITLE: **GENETICALLY MODIFIED ORGANISM APPLICATION ELEMENTS** 1. *Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. Specification Total Pages 27 (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) Detailed Description - Claim(s) - Abstract of the Disclosure 3. Drawing(s) (35 U.S.C. 113) Total Sheets 1 Oath or Declaration Total Pages 2 Newly unexecuted (original or copy) a. Copy from a prior application (37 CFR § 1.63(d)) b. i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§ 1.63(d)(2) and 1.33(b). 5. Power of Attorney Total Pages Newly executed (original or copy) Copy from a prior application (37 CFR § 1.63(d)) b.

Microfiche Computer Program (Appendix)

6.

Application Transmittal Letter Page 2 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) Computer Readable Copy Paper Copy (identical to computer copy) b. Statement verifying identity of above copies C. If a CONTINUING Application, check appropriate box, and supply the requisite information: Divisional | | Continuation-in-part Continuation of prior application no: , filed Examiner _____, Group/Art Unit (The cross reference has been/will be inserted on page one of the specification). This application claims the benefit of U.S. Provisional Application No. 60/466,400, filed April 29, 2003. (The cross reference has been/will be inserted on page one of the specification). Incorporation By Reference (useable if filing a continuation/divisional and a copy of the declaration from the prior application is enclosed.) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. ACCOMPANYING APPLICATION PARTS 7. Assignment Papers (cover sheet & document(s)) 8. 37 CFR §3.73(b) Statement (when there is an assignee) Verified English Translation Document (if applicable) 9. 10. Information Disclosure Copies of IDS Citations Statement (IDS)/PTO-1449 **Preliminary Amendment** 11. 12. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) Statement filed in prior application, 13. *Small Entity Statement(s) Status still proper and desired (PTO/SB/09-12) Certified Copy of Priority Document(s) (DE 102 58 885.6 filed on December 17, 2002) 15. **Application Data Sheet** Other: **CORRESPONDENCE ADDRESS**

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F. Aaron Dubberley, Reg. No. 41,001 Attorney/Agent for Applicant

Aventis Pharmaceuticals Inc.
Patent Department
Route #202-206 / P.O. Box 6800
Bridgewater, New Jersey 08807-0800
Telephone (908) 231-3737
Telefax (908) 231-2626
Aventis Docket No. DEAV2002/0089 US NP

DEAV2002/0089 US NP

Approved for use through 04/30/2003. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

Attorney Docket No.

FEE TRANSMITTAL for FY 2004

Patent fees are subject to annual revision.

(\$) 770.00

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

Not Yet Assigned **Application Number** Filing Date December 16, 2003 KLEBL, et al. First Named Inventor Not Yet Known Examiner Name Group / Art Unit **Not Yet Known**

Complete if Known

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)							
	3. Al	OITIO			COLATION (continued)			
Check Credit Card Money Other None Order	3. ADDITIONAL FEES							
Deposit Account	Large E	Large Entity Small Entity		ntity				
Deposit	Fee	Fee	Fee	Fee				
Account Number 18-1982	Code	(\$)	Code	(\$)	Fee Description	Fee Paid		
Deposit Account Aventis Pharmaceuticals Inc.								
Name	1051	130	2051	65	Surcharge - late filing fee or oath			
The Commissioner is authorized to: (check all that apply)	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet			
Charge fee(s) indicated below Credit any overpayments	1053	130	1053	130	Non-English Specification			
Charge any additional fee(s) during the pendency of this application	1812 1804	2,520	1812	2,520	For filing a request for reexamination			
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account		920*	1804	920*	Requesting publication of SIR prior to Examiner action			
FEE CALCULATION	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action			
1. BASIC FILING FEE	1251	110	2251	55	Extension for reply within first month			
Large Entity Small Entity Fee								
Fee Fee Fee Fee <u>Fee Description</u> Paid Code (\$) Code (\$)	1252	420	2252	205	Extension for reply within second month			
1001 770 2001 375 Utility filing fee 770	1253	950	2253	465	Extension for reply within third month			
1002 340 2002 165 Design filing Fee	1254	1,480	2254	725	Extension for reply within fourth month			
1003 530 2003 260 Plant filing fee	1255	2,010	2255	985	Extension for reply within fifth month			
1004 770 2004 375 Reissue filing fee	1401	330	2401	160	Notice of Appeal			
1005 160 2005 80 Provisional filing fee	1402	330	2402	160	Filing a brief in support of an appeal			
SUBTOTAL (1) (\$)770.00	1403	290	2403	140	Request for oral hearing			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1451	1,510	1451	1,510	Petition to institute a public use proceeding			
Fee	1452	110	2452	55	Petition to revive - unavoidable			
Extra from Fee Claims below Paid	ļ							
Total Claims 20 -20**= x =	1453	1,330	2453	650	Petition to revive - unintentional			
Independent - 3**= x =	1501	1,330	2501	650	Utility issue fee (or reissue)			
Claims	1502	480	2502	235	Design issue fee			
Multiple Dependent =	1503	640	2503	315	Plant issue fee			
	1460	130	1460	130	Petitions to the Commissioner			
Large Entity Small Entity Fee Fee Fee Fee	1807	50	1807	50	Petitions related to provisional applications			
Code (\$) Code (\$) Fee Description			١.		·			
1202 18 2202 9 Claims in excess of 20	1806	180	1806	180	Submission of Information Disclosure Stmt			
1201 86 2201 42 Independent claims in excess of 3	8021	40	8021	40	Recording each patent assignment per property (times number of properties)			
1203 290 2203 140 Multiple dependent claim, if not paid	1809	770	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))			
1204 86 2204 42 "Reissue independent claims over original patent	1810	770	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))			
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent	1801	770	2801	375	Request for Continued Examination (RCE)			
	1802	900	1802	900	Request for expedited examination of a design application	8		
SUBTOTAL (2) (\$)	Other fee	e (specify)						
**or number previously paid, if greater; For Reissues, see above	*Reduce	d by Basic	c Filing Fe	e Paid	SUBTOTAL (3) (\$)			

SUBMITTED BY		Complete (If applicable)						
Name (Print/Type)	F. Aaron Dubberley, Reg. No. 41,001	Registration No. (Attorney/Agent)	41,001	Telephone	(908) 231-3737			
Signature				Date	12/16/2003			

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included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Alexandria, VA 22313. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.